



U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
Administration on Developmental Disabilities

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INFORMATION MEMORANDUM

TO : Directors, State Administering Agencies
Executive Directors, State Planning Councils
Chairpersons, State Planning Councils
Directors, State Protection and Advocacy Agencies
Directors, University Affiliated Facilities

SUBJECT : Federal Register "Announcement of Availability of
FY 1985 and 1986 Competitive Financial Assistance
for Projects to Promote Social and Economic
Self-Sufficiency for Native Americans"


CONTENT : The Administration on Developmental Disabilities
is forwarding the attached Federal Register
Notice to provide you with information regarding
the Administration for Native Americans (ANA)
program and funding priorities. We would like to
call to your attention the third ANA program
goal, Social Development. This refers to areas
of concern for the Developmental Disabilities
network.

You may want to consider, where appropriate,
coordinating current and/or future activities
with Indian tribes, non-profit multi-purpose
Indian organizations, urban Indian centers, and
non-profit Native Hawaiian organizations.
Examples of the types of measures and results
expected from the ANA supported projects include
the following: (a) Increase the number of
developmentally disabled Native American children
served by appropriate agencies (b) Decrease of
fetal alcohol syndrome.

ATTACHMENT: Subject - Federal Register Announcement

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INQUIRIES TO: John Pride
Director
Division of Program Operations
Administration on Developmental Disabilities



Jean K. Elder, Ph.D.
Commissioner
Administration on Developmental
Disabilities

COPY TO : Regional Administrators, HDS, Regions III, VI, VII, IX
Regional Program Directors, ADD, Regions III, VI, VII, IX

federal register

**Wednesday
August 1, 1984**

Part III

Department of Health and Human Services

Office of Human Development Services

**Announcement of Availability of FY 1985
and 1986 Competitive Financial
Assistance for Projects To Promote
Social and Economic Self-Sufficiency for
Native Americans; Notice**

DEPARTMENT OF HEALTH AND HUMAN SERVICES

Office of Human Development Services

[Program Announcement 13612-851]

Announcement of Availability of Fiscal Year 1985 and 1986 Competitive Financial Assistance for Projects To Promote Social and Economic Self-Sufficiency for Native Americans

AGENCY: Office of Human Development Services, DHHS.

ACTION: Program announcement.

SUMMARY: The Administration for Native Americans (ANA) announces that applications are being accepted for competitive financial assistance under section 803 of the Native American Programs Act of 1974, Pub. L. 93-644, as amended. Regulations covering this program are published in the Code of Federal Regulations in 45 CFR Part 1336.

DATES: The closing dates for receipt of applications are October 10, 1984, February 28, 1985, and June 30, 1985.

FOR FURTHER INFORMATION: Applicants who wish information regarding this program announcement may contact Anita Wright (202-245-730) or Tom Battiste (202-245-7727), ANA, Human Development Services, Department of Health and Human Services, 330 Independence Avenue, SW., Washington, DC 20201

ANA Mission

The purpose of the Administration for Native Americans is to promote economic and social self-sufficiency for American Indians, Alaska Natives, and Native Hawaiians. In this context, self-sufficiency is the level of development and degree to which a Native American community can provide for the needs of its community members and pursue its own social and economic goals.

ANA Program Goals

ANA has three program goals:

1. Governance

To promote the development or strengthening of tribal governments and native American institutions and local leadership to assure local control and decision-making over all resources.

2. Economic Development

To foster the development of stable, diversified local economies and economic activities which provide jobs, promote economic well-being, and reduce dependency on welfare services.

3. Social Development

To support local access to, and coordination of, services and programs which safeguard the health and well-being of Native Americans and which are essential to a thriving and self-sufficient community.

ANA provides financial assistance to public and private non-profit organizations including Indian Tribes, urban Indian centers, Alaska Native villages, Native Hawaiian organizations, rural off-reservation groups, and other Native American organizations for the development and implementation of social and economic development strategies that promote self-sufficiency. These projects are expected to result in improved social and economic conditions of Native Americans within their communities and to increase the effectiveness of Indian Tribes and Native American organizations in meeting their economic and social goals.

The local community has the primary responsibility for determining its own needs and priorities and for planning and implementing its own programs. The local community is in the position to decide on the best approach to pursuing social and economic self-sufficiency.

Purpose of This Program Announcement

The purpose of this program announcement is to announce the availability of assistance to promote self-sufficiency for Native Americans through support of local social and economic development projects.

Proposed projects will be reviewed on a competitive basis against the evaluation criteria identified in this announcement.

Program Priority and Expected Outcomes

The ANA program priority is to fund projects that will make the greatest impact in promoting social and economic self-sufficiency for Native Americans. The applicant's proposal must clearly identify in measurable terms the expected results of the project and the positive impact on the community. ANA encourages applicants to consider innovative approaches to addressing the social and economic conditions in the community. Some examples of the types of measures and results expected from the ANA-supported projects are the following:

Governance—ANA Goal No. 1

- Increase in number of programs operated by the Indian Tribe that were previously run by Federal employees.
- Tribal commercial codes enacted to control commerce in Indian country and

to promote an environment conducive to economic development.

- Adoption codes enacted by the Tribe separately or as part of a comprehensive Tribal children's code.
- Child abuse and neglect reporting code enacted by the Tribe separately or as part of a comprehensive children's code.
- Environmental protection codes enacted.
- Taxation codes enacted and revenue generated to cover some of the costs of Tribal government operations.
- Energy development codes enacted to protect the environment, promote energy development and the socio-economic growth of the local economy.
- Implementation of a merit employment personnel system to provide a stable, efficient and effective Tribal civil service system.
- Increase in the ratio of Tribal employees to Federal employees providing services to Indians.
- Cooperative Tribal/State agreements executed in new areas of mutual support and benefit.
- Increase in Native American representation on city, county, and/or State advisory boards or commissions that have influence in allocating public resources and planning public services.
- Research, documentation, and/or presentation of evidence to support claim for recognition as an Indian Tribe or to clarify jurisdictional status as a governmental entity.

Economic Development—ANA Goal No. 2

- An increase in the:
 - Number of business starts and expanded manufacturing trade and retail efforts initiated.
 - Number of new agricultural and mining efforts initiated.
 - Number of Native American owned and operated businesses established or expanded.
 - Number of non-Indian owned businesses established a reservation.
 - Amount of revenue generated from energy development.
 - Amount of revenue generated from business and agricultural enterprises.
 - Number of urban Indian business enterprises initiated.
 - Number of urban Indian economic businesses developed or expanded.
 - Federal housing units transferred from Federal control to Indian Tribal management and used for rent or sale to include, but not limited to, housing currently operated by the Bureau of Indian Affairs and the Indian Health Service serving Federal employees on Indian reservations.

- Increase in home ownership in a community by Native Americans.
- Number of housing units constructed, renovated and/or sold.
- Number of jobs resulting from an ANA grant project.
- Number of placements by the enactment of Tribal employment rights ordinances (TERO).

• Establishment under Indian sponsorship of a for-profit health care system for Indians and non-Indians including outpatient and hospital care through free-for-service, insurance reimbursements and other third-party claims and contracts for Indian health services operating at the local level.

Social Development—ANA Goal No. 3

- Assuming local control of planning and delivering social services in Native American communities.
- Licenses obtained by Native American urban organizations to provide social or other services for State and local governments.
- Increase of Native American volunteers working in the community.
- Increase in employer-provided social services, such as day care and counseling.
- New service programs established with ANA funds and funded for continued operation by local communities or the private sector.
- Reduction in the number of out-of-home placements of Native American children.
- Increase in Native American children adopted or placed in permanent homes who would otherwise be in foster care or institutions.
- Increase in Indian children returning home from foster homes.
- Increase in number of developmentally disabled Native American children served by appropriate agencies.
- Decrease in General Assistance caseload and Aid to Families with Dependent Children caseload.
- Decrease in Native American suicides.
- Decrease in Native American child abuse and neglect incidences as a result of improved social conditions such as employment and improved social services in the community.
- Decrease of fetal alcohol syndrome.
- Urban Indian organizations establishing formal linkages with local governments.

There are other definitive results, benefits, and impacts that can accrue from a project. The major emphasis is the use of ANA resources to create definite, measurable, and positive results or impact in the community by the end of the Project period.

Note.—ANA will reject applications which request funding for on-going direct service delivery. Projects must be developmental in nature, be completed or self-sustaining at the end of the project period, or be maintained by other ANA resources, and result in the improved well-being of the members of the community.

Cooperative Management Initiative

The Cooperative Management Initiative (CMI) is an Office of Human Development Services' (HDS) management initiative to strengthen local coordination and enhance the efficiency of HDS Indian programs: ANA social and economic development grants, ACYF Head Start grants and AoA Title VI grants. The purpose of local cooperative management of Federal programs is to reduce administrative burden and duplicative reporting requirements which Indian Tribes encounter when they administer more than one HDS grant. Additionally, CMI facilitates management improvements and joint use of facilities at the Tribal level.

All Indian Tribes which have two or more HDS grants are eligible to participate in this cooperative effort. Currently participating Tribes and those Tribes interested in joining CMI should state their intent in the narrative portion of their application. For further information on CMI, contact: Bernice Harris, CMI Coordinator, Office of Human Development Services, Department of Health and Human Services, 330 Independence Avenue SW., Washington, DC 20201: (202) 245-7730.

Eligible Applicants

The following organizations which are not current grantees of ANA are eligible to apply for a grant award under this announcement: Federally recognized Indian Tribes; consortia of Indian Tribes; non-Federally recognized Tribes; non-profit multi-purpose Indian organizations; urban Indian centers; and non-profit Native Hawaiian organizations.

Fiscal Year 1984 grantees of ANA, with the exception of Native Alaskan grantees, whose *project period* terminates in Fiscal Year 1985, are also eligible to apply. (The Project Period is noted in Block 7 of the "Notice of Financial Assistance Awarded".)

Alaska Native villages and Regional Alaska Native non-profit corporations are not eligible under this program announcement because a separate program announcement was published exclusively for Fiscal Years 1984 and 1985 funding of Alaska Native Projects: Program Announcement No. 13612-833.

published in the Federal Register on May 16, 1983 (48 FR 22128-22128).

For Fiscal Year 1986, ANA plans to publish another competitive program announcement exclusively for Alaskan Native projects.

Individual consortia members may apply for direct funding from ANA, even though their consortium organization is an ANA grantee, providing the projects are different from those funded by ANA to the consortium and the application indicates that the consortium organization has been notified of the individual member's intent to apply for a grant award from ANA. The consortium must recognize that if one of its members receives direct funding from ANA, the grant award to the consortium may be renegotiated.

Available Funds

ANA expects to award approximately \$4 million in Financial Assistance Section 803 funds for each of the three closing dates under this program announcement, a total of \$12 million. It is anticipated that a total of 75 grants will be awarded under this program announcement.

Applications for projects of one, two, or three years duration may be submitted. Applicants proposing projects for more than one year must submit full applications on all program activities for the entire project period, that is, for years one, two and three, not just for the first year. The budget period for each grant award will be for twelve (12) months. Funding after the first year of a multi-year project will depend upon grantee's progress in achieving the objectives of the project according to the approved work plan, the availability of funds, and compliance with the Native American Programs regulations.

For projects approved for more than one year, only minimal application information for subsequent year funds will be required. Specific guidance will be provided to those grantee to whom this applies in Fiscal Year 1986.

Note.—ANA will make only one grant award to a tribe or organization under this program announcement.

Grantee Share of Project

Grantees must provide at least 20 percent of the total approved cost of the project, which may be cash or in-kind. The total approved cost of the project is the sum of the Federal Share and the non-Federal Share. A budget detailing applicant's non-Federal Share in the project must be included in the application.

Application Process**Availability of Application Forms.**

In order to be considered for a grant under this program announcement, and application must be submitted on the forms supplied and in the manner prescribed by ANA. The application kits containing the necessary forms may be obtained from: Department of Health and Human Services, Administration for Native Americans, Room 5300, North Building, 330 Independence Avenue, SW., Washington, D.C. 20201. Attn: Mrs. Casandra Byrd, (202) 245-7727. Attention: No. 13612-851.

Application Submission.

One signed original and the appropriate number of copies of the grant application, including all attachments, must be submitted to the address specified in the application kit. The application shall be signed by an individual authorized to act for the applicant agency and to assume for the agency the obligations imposed by the terms and conditions of the grant award, including Native American Program rules and regulations.

Application Consideration

The Commissioner of ANA determines the final action to be taken with respect to each grant application received under this announcement.

- Incomplete applications and applications which do not conform to this announcement will not be accepted for review. Applicants will be notified in writing accordingly.

- Complete applications which conform to all the requirements of this program announcement are subjected to a competitive review and evaluation process against the published criteria. The results of this review will assist the Commissioner in making final funding decisions.

- The Commissioner's decision also takes into account the comments of the ANA staff and other interested parties.

- The Commissioner makes grant awards consistent with: the purpose of the Native American Programs Act; The ANA regulations; this program announcement; and the limits of the announced available funds.

- When the Commissioner has made decisions on all applications, unsuccessful applicants are notified in writing. Successful applicants are notified through an official Notice of Financial Assistance Awarded. This

Financial Assistance Notice states the amount of funds awarded, the purpose of the grant, the terms and conditions of the grant award, the effective date of the award, the budget period, the project period, and the amount of non-Federal share grantee participation.

Criteria for Review and Evaluation.

Applications which conform to all the requirements of this program announcement will be evaluated against the following criteria:

(1) The proposed project, when completed, will show a measurable increase in the social and economic self-sufficiency of a specific Tribe or community. The benefits or impact expected in the community, as a result of achieving the project objectives, are quantifiable, measurable, and do not depend upon on-going support from ANA. See Section labeled "Program Priority and Expected Outcomes" for examples of quantifiable and measurable impact and benefits. (25 Points)

(2) The application specifies long-range community goals and project priorities, identifies a well-defined strategy and a sound methodology for achieving the project objectives and clearly identifies how improvements will be sustained at the end of ANA's funding. The goals, objectives, activities, and expected results relate to each other, are realistic, and are based on a locally developed social and economic development strategy. Specific evidence of the commitment of the local community and the support of the governing body are contained in the application, resource commitments for the proposed project from the community and applicant organization, cited reports or studies which support the feasibility of the proposed project. (20 Points)

(3) The proposed project objectives and activities identified in Part IV of the application are clearly defined, sufficiently detailed, in logical order, and provide a basis for project monitoring. (15 Points)

(4) The application presents a detailed budget specifically related to the work plan objectives in Part IV. It has complete explanations and justification of line items, including technical assistance. The budget cost is reasonable to the government in terms of the outcome and benefits expected. (10 Points)

(5) The application identifies by position or role all proposed key personnel, consultants and contractors. Their qualifications are demonstrated by the inclusion of resumes, position descriptions, and consultant and contractor capability statements. (15 Points)

(6) The management and administrative capabilities which are necessary to ensure accountability and to justify receipt of Federal funds are evident in the application. (5 Points).

(7) The application demonstrates the coordinated use of specific non-Federal and Federal resources (other than from ANA) as part of its strategy to move toward self-sufficiency through social and economic development during the project period. (10 Points)

Due Dates for Receipt of Applications

The closing dates for applications submitted in response to this program announcement are October 10, 1984, February 28, 1985, and June 30, 1985.

Mailed Applications

Applications mailed through the U.S. Postal Service or a commercial delivery service shall be considered as meeting the deadline if they are either:

1. Received on or before the deadline date; or
2. Sent on or before the deadline date and received in time for submission to the review panel. (Applicants are cautioned to request a legible U.S. Postal Service postmark or to obtain a legibly dated mailing receipt from the commercial carrier or U.S. Postal Service. Private metered postmarks will not be acceptable as proof of timely mailing.)

Late Applications

Applications which do not meet these criteria are considered late applications and will not be considered in the current competition.

(Catalog of Federal Domestic Assistance Program Number 13.612 Native American Programs)

Dated: July 11, 1984.

William Lynn Englee,
Commissioner, Administration for Native Americans.

Approved: July 25, 1984.

Dorcas E. Hardy,
Assistant Secretary for Human Development Services.

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