

programs for the handicapped

June 1, 1971

MENTAL RETARDATION FUNDS
Department of Health, Education, and Welfare
Fiscal Years 1970-1972

The material on the following pages presents the amount of funds available from the Department of Health, Education, and Welfare for mental retardation programs. Six agencies and offices within the Department administer mental retardation funds that support programs covering a wide spectrum: services, training, construction, research and income maintenance. The first four of these activities represent an estimated obligation of about \$359 million in FY 1972. The income maintenance program will obligate \$296 million during this same year.

The programs operated with these funds are described in the report of the Secretary's Committee on Mental Retardation entitled "Mental Retardation Activities, January 1971." Available from Superintendent of Documents, Washington, D.C. 20402, \$1.00 per copy.

Additional information concerning these tables may be secured from the Secretary's Committee or the agency administering the program.

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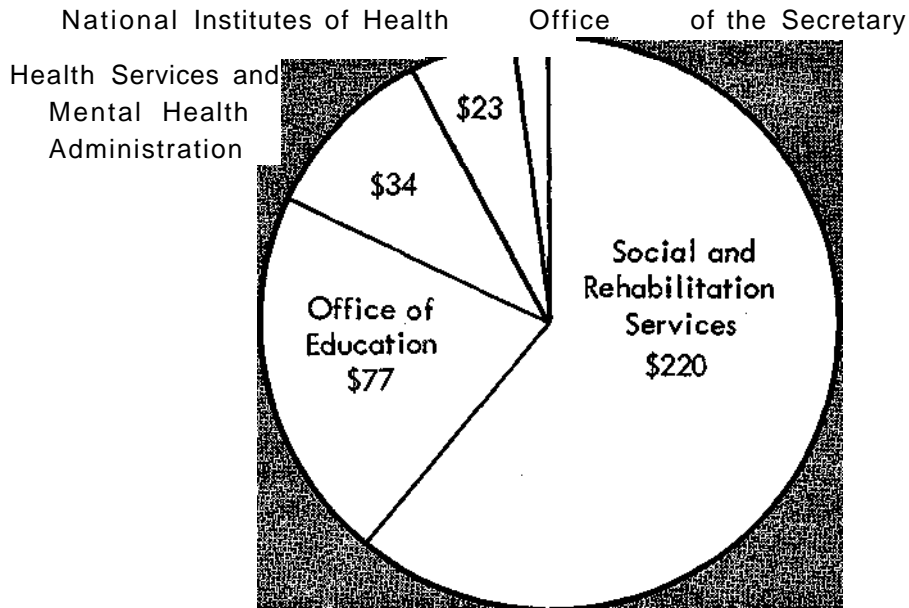
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MENTAL RETARDATION FUNDS FOR GRANTS & SERVICES

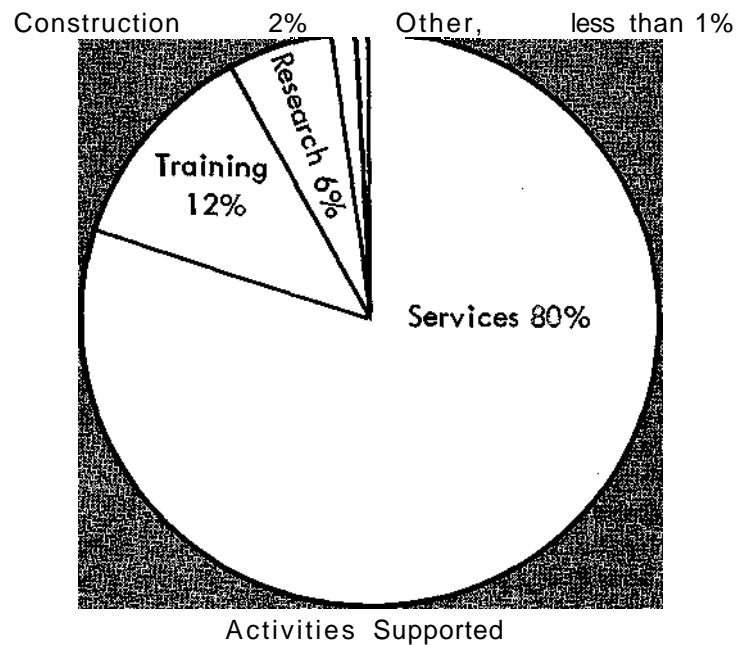
Department of Health, Education, and Welfare

Fiscal Year 1972

Millions



Agencies Administering Mental Retardation Programs



Glossary of Terms *

FISCAL YEAR -- Year running from July 1 to June 30 and designated by the Calendar year in which it ends.

AUTHORIZATION -- Basic substantive legislation which sets up a Federal program or agency. Such legislation sometimes sets limits on the amount that can subsequently be appropriated, but does not usually provide budget authority.

BUDGET AUTHORITY -- Authority provided by the Congress -- mainly in the form of appropriations -- which allows Federal agencies to incur obligations to spend or lend money. While most authority is voted each year, some becomes available automatically under permanent laws -- for example, interest on the public debt.

OBLIGATIONS -- Commitments made by Federal agencies to pay out money for products, services, loans, or other purposes -- as distinct from the actual payments. Obligations incurred may not be larger than the budget authority.

FEDERAL FUNDS -- Funds collected and used by the Federal Government, as owner. The major federally owned fund is the general fund, which is derived from general taxes and borrowing and is used for the general purposes of the Government. Federal funds also include certain earmarked receipts, such as those generated by and used for the operations of Government-owned enterprises.

TRUST FUNDS -- Funds collected and used by the Federal Government, as trustee, for specified purposes, such as social security and highway construction. Receipts held in trust are not available for the general purposes of the Government. Surplus trust fund receipts are invested in Government securities and earn interest.

* "The U. S. Budget in Brief, FY 1972," Executive Office of the President, Office of Management and Budget, January 1971

Explanatory Notes on Mental Retardation Obligation Tables

Definitions:

Services: Includes services rendered in behalf of the mentally retarded as well as direct services offered to the mentally retarded. For example, case finding, information and referral programs are included, along with direct medical care and special education programs.

Training: Includes training of professional as well as supportive personnel. For example, university graduate level training and in-service training in mental retardation institutions are both included. Also, no distinction is made between training for service and training for research.

Estimates: Inadequate reporting data on some programs necessitate the use of very rough estimates on amount of funds. This is especially true of Medicaid (Title XIX, SSA); the amount shown is based on data from only a limited number of states.

Omission: No data suitable for determining obligations is available in the public assistance social service program. Even though many States are known to use these funds to support services for the mentally retarded, no reporting procedure exists to determine extent and cost of such activities.

Authorisations and

Appropriations: Comparison of authorizations and appropriations is not meaningful in all programs included in these tables. Table 7 compares authorizations and appropriations levels only for those programs in which: 1) all funds are obligated for mental retardation; 2) all funds are obligated for the handicapped with a significant portion used to support mental retardation programs.

Developmental Disabilities:

Funds authorized under P.L. 91-517 became available for the first time in FY 1971; this statute authorizes funds for mental retardation as well as other types of disabilities; e.g., cerebral palsy and epilepsy. However, for fiscal accounting purposes, all funds obligated under P.L. 91-517 are considered in the area of mental retardation.

Income Maintenance: Obligations for income maintenance support under social security and public assistance are separately identified in the tables. These amounts are based on estimated numbers of mentally retarded persons receiving benefits. Such obligations should be considered in a separate category from those funds appropriated for the support of programs designed specifically for mental retardation programs or known to be related to mental retardation to a significant degree.

Table 1

Summary Tables

DHEW Mental Retardation Obligations
BY 1970-1972
(Thousands of Dollars)

1. Agency-Activity Summary

	1970	1971	1972
Office of Education			
Services	57,940	63,020	63,110
Training	11,791	11,900	11,900
Research	1,678	1,420	1,600
Other	15	30	30
Total	71,424	76,370	76,640
Health Services and Mental Health Administration			
Services	13,515	12,990	12,990
Training	15,256	17,403	19,736
Research	2,442	1,600	1,600
Total	31,213	31,993	34,326
Social and Rehabilitation Service			
Services	184,503	202,239	207,877
Training	6,238	6,230	5,240
Research	1,516	1,271	1,095
Construction	16,993	17,726	5,607
Sub-Total	209,250	227,466	219,819
Income Maintenance	79,200	96,000	116,700
Total	288,450	323,466	336,519
National Institutes of Health			
Training	7,642	7,820	6,107
Research	13,884	15,804	16,853
Total	21,526	23,624	22,960

Table 1

	1970	1971	1972
Social Security Administration Income Maintenance	145,100	168,200	179,300
Office of the Secretary Services	3,963	4,270	4,462
Other	580	550	760
Total	4,543	4,820	5,222
Grants and Services Income Maintenance	337,956	364,273	358,967
	224,300	264,200	296,000
<u>Total, DHEW</u>	562,256	628,473	654,967

2. Activity Summary

Services	259,921	282,519	288,439
Training	40,927	43,353	42,983
Research	19,520	20,095	21,148
Construction	16,993	17,726	5,607
Other	595	580	790
Sub-Total	337,956	364,273	358,967
Income Maintenance	224,300	264,200	296,000
<u>Total, DHEW</u>	562,256	628,473	654,967

Table 2

U. S. DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE
 Obligations for Mental Retardation Programs by Activity Designation
 Fiscal Years 1970-1972
 (Thousands of Dollars)

ACTIVITY	1970	1971 (Estimate)	1972 (Estimate)
<u>OFFICE OF EDUCATION</u>			
<u>Services</u>			
Title I, ESEA, Educationally deprived children	33,000	35,600	35,600
P.L. 89-313 *	(18,600)	(19,700)	(19,700)
P.L. 89-10 (as amended) **	(14,400)	(15,900)	(15,900)
Title III, ESEA, Supplementary Centers	3,650	4,900	4,900
Education for the Handicapped Act, Part B	9,200	10,160	10,160
Education for the Handicapped Act, Part C, Section 623	590	860	950
Vocational Education Act, Part B	11,500	11,500	11,500
Total, Services	57,940	63,020	63,110
<u>Training</u>			
Education for the Handicapped Act, Part D	10,391	10,500	10,500
Education Professions Development Act	1,400	1,400	1,400
Total, Training	11,791	11,900	11,900
<u>Research</u>			
Cooperative Research Act	76	--	--
Education for the Handicapped Act, Part E	1,602	1,420	1,600
Total, Research	1,678	1,420	1,600

OFFICE OF EDUCATION

Table 2

ACTIVITY	1970	1971 (Estimate)	1972 (Estimate)
<u>Other</u>			
Library Services and Construction Act	15	30	30
Total, Other	15	30	30
TOTAL, OFFICE OF EDUCATION	71,424	76,370	76,640

* Administered by Bureau of Education for the Handicapped - Grants to State Education Agencies

** Administered by Bureau of Elementary and Secondary Education - Grants to Local Education Agencies

Table 3

U. S. DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE
 Obligations for Mental Retardation Programs by Activity Designation
 Fiscal Years 1970-1972
 (Thousands of Dollars)

ACTIVITY	1970	1971 (Estimate)	1972 (Estimate)
<u>HEALTH SERVICES AND MENTAL HEALTH ADMINISTRATION</u>			
<u>Services</u>			
Comprehensive Health Planning and Services	525	--	--
Maternal and Child Health Services			
Maternal and Child Health Services	6,988	6,988	6,988
Crippled Children's Services	6,002	6,002	6,002
Total, Services	13,515	12,990	12,990
<u>Training</u>			
Maternal and Child Health			
Training for Health and Related Care of Mothers and Children (Section 511, Title V, SSA)	9,000	11,200	13,571
Crippled Children's Services	2,998	2,998	2,998
Maternal and Child Health Services	2,767	2,767	2,767
Mental Health Research and Services	441	438	400
Comprehensive Health Planning and Services	50	--	--
Total, Training	15,256	17,403	19,736

HEALTH SERVICES AND MENTAL HEALTH ADMINISTRATION

Table 3

ACTIVITY	1970	1971 (Estimate)	1972 (Estimate)
<u>Research</u>			
Maternal and Child Health			
Research Relating to Maternal & Child Health Services and Crippled Children's Services	1,842	1,600	1,600
Mental Health Research and Services	600	--	--
Total, Research	2,442	1,600	1,600
TOTAL, HEALTH SERVICES AND MENTAL HEALTH ADMINISTRATION	31,213	31,993	34,326

Table 4

U. S. DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE
 Obligations for Mental Retardation Programs by Activity Designation
 Fiscal Years 1970-1972
 (Thousands of Dollars)

ACTIVITY	1970	1971 (Estimate)	1972 (Estimate)
<u>NATIONAL INSTITUTES OF HEALTH</u>			
<u>Training</u>			
Neurology and Stroke Activities	6,171	6,241	4,676
Child Health and Human Development	1,471	1,579	1,431
Total, Training	7,642	7,820	6,107
<u>Research</u>			
Neurology and Stroke Activities	4,795	5,195	4,974
Child Health and Human Development	9,089	10,609	11,879
Total, Research	13,884	15,804	16,853
TOTAL, NATIONAL INSTITUTES OF HEALTH	21,526	23,624	22,960
<u>SOCIAL SECURITY ADMINISTRATION</u>			
<u>Income Maintenance</u>			
Estimated Benefit Payments from Trust Funds	143,000	165,800	176,800
Trust Fund Obligations Incurred to Adjudicate Claims of Beneficiaries	2,100	2,400	2,500
Total, Income Maintenance	145,100	168,200	179,300
TOTAL, SOCIAL SECURITY ADMINISTRATION	145,100	168,200	179,300
NATIONAL INSTITUTES OF HEALTH SOCIAL SECURITY ADMINISTRATION			

Table 5

U. S. DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE
Obligations for Mental Retardation Programs by Activity Designation
Fiscal Years 1970-1972
(Thousands of Dollars)

ACTIVITY	1970	1971 (Estimate)	1972 (Estimate)
SOCIAL AND REHABILITATION SERVICE			
<u>Services</u>			
Vocational Rehabilitation Act			
Grants to States, Sec. 2	54,500	67,400	74,100
Innovation Grants, Sec. 3	223	28	-0-
Expansion Grants, Sec. 4(a)(2)(A)	108	108	144
Facility Improvement Grants, Sec. 13	3,600	3,600	3,400
Rehabilitation Services Projects, Sec. 4(a)(1)	2,451	3,500	5,000
Formula Grants for the Developmentally Disabled (P.L. 91-517, Sec. 131)	-0-	5,608	5,608
Hospital Improvement, Public Health Service Act, Sec. 303	6,731	6,220	4,500
Initial Staffing (P.L. 88-164, Sec. 141)	10,990	9,775	10,075
Grants to States for Public Assistance			
Medical Assistance (Title XIX, Social Security Adm.)	100,000	100,000	100,000
Older Americans Act			
Foster Grandparent Programs (Title VI)	5,800	5,900	5,000
Community Grants (Title III)	100	100	50
Total, Services	184,503	202,239	207,877

SOCIAL AND REHABILITATION SERVICE

Table 5

ACTIVITY	1970	1971 (Estimate)	1972 (Estimate)
<u>Training</u>			
Vocational Rehabilitation Grants			
Vocational Rehabilitation Act, Sec. 12	1,395	1,200	290
Vocational Rehabilitation Act, Sec. 4(a)(1)	1,700	2,000	2,000
Hospital Improvement, PHS Act, Sec. 303	2,241	2,080	2,000
Research and Training			
Research and Training Centers, Vocational Rehabilitation Act, Sec. 4(a)(1)	902	950	950
Total, Training	6,238	6,230	5,240
<u>Research</u>			
Research and Demonstrations <u>1/</u>	1,216	1,071	695
Social and Rehabilitation Activities Overseas (Special Foreign Currency Program)	300	200	400
Total, Research	1,516	1,271	1,095
<u>Construction</u>			
Rehabilitation Facilities Construction <u>2/</u>			
Vocational Rehabilitation Act, Sec. 12	-0-	12,119	-0-
Formula grants for the Developmentally Disabled (P.L. 91-517, Sec. 131)	16,993	5,607	5,607
Total, Construction	16,993	17,726	5,607

SOCIAL AND REHABILITATION SERVICE

Table 5

ACTIVITY	1970	1971 (Estimate)	1972 (Estimate)
<u>Income Maintenance</u>			
Grants to States for Public Assistance			
Aid to the Permanently and Totally Disabled	79,200	96,000	116,700
Total, Income Maintenance	79,200	96,000	116,700
Total, Grants & Services	209,250	227,466	219,819
Total, Income Maintenance	79,200	96,000	116,700
TOTAL, SOCIAL AND REHABILITATION SERVICE	288,450	323,466	336,519

1/ Includes Child Welfare Research and Demonstrations 1965-1972; Special Programs for the Aging, Research and Demonstrations 1970-72; and Mental Retardation Research and Demonstration 1965-72.

2/ Combines all former Vocational Rehabilitation Construction programs--Workshop improvement grants and workshop construction grants.

SOCIAL AND REHABILITATION SERVICE

Table 6

ACTIVITY	1970	1971 (Estimate)	1972 (Estimate)
<u>OFFICE OF THE SECRETARY</u>			
<u>Services</u>			
Headstart	3,963	4,270	4,462
<u>Other</u>			
Secretary's Committee on Mental Retardation	(111)	(110)	110
President's Committee on Mental Retardation	580	550	650
Total, Office of the Secretary	4,543	4,820	5,222

Table 7

Authorizations and Appropriations
for Selected Mental Retardation Programs
Fiscal Year 1970-72
(Thousands of Dollars)

Program	Authority	1970		1971		1972	
		Author.	Appro.	Author.	Appro.	Author.	Appro. 1/
<u>Bureau of Education for the Handicapped, OE</u>							
Grants to States	P.L. 91-230 Title VI, Part B	200,000	29,190	200,000	34,000	210,000	35,000
Reg. Resource Centers, Deaf- Blind Preschool Education	P.L. 91-230 Title VI, Part C	27,000	11,000	29,000	15,050	51,500	16,050
Personnel Training	P.L. 91-230 Title VI, Part D	55,000	35,000	55,000	31,900	87,000	15,755
Research & Demonstrations	P.L. 91-230 Title VI, Part E	19,500	16,700	19,500	15,300	35,500	15,755

Table 7

		1970		1971		1972	
Program	Authority	Author.	Appro.	Author.	Appro.	Author.	Appro. 1/
Division of Developmental Disabilities, RSA							
Formula Grants to States	P.L. 91-517 Title I	N.A.	N.A.	60,000	11,215	105,000	11,215
Construction of University-Affiliated Fac.	P.L. 91-517 Title II, Sec. 201	20,000	--	20,000	--	20,000	--
Research & Demonstration Grants	P.L. 91-517 Title II, Sec. 202	N.A.	N.A.	15,000	--	17,000	--

1/ President's Budget