

**UNITED STATES DISTRICT COURT
DISTRICT OF MINNESOTA**

James and Lorie Jensen, as parents, guardians,
and next friends of Bradley J. Jensen; James
Brinker and Darren Allen, as parents,
guardians, and next friends of Thomas M.
Allbrink; Elizabeth Jacobs, as parent, guardian,
and next friend of Jason R. Jacobs; and others
similarly situated,

Civil No. 09-1775 (DWF/BRT)

Plaintiffs,

v.

**ORDER FOR REPORTING
ON OLMSTEAD PLAN**

Minnesota Department of Human Services,
an agency of the State of Minnesota; Director,
Minnesota Extended Treatment Options, a
program of the Minnesota Department of
Human Services, an agency of the State of
Minnesota; Clinical Director, the Minnesota
Extended Treatment Options, a program of
the Minnesota Department of Human Services,
an agency of the State of Minnesota; Douglas
Bratvold, individually and as Director of the
Minnesota Extended Treatment Options, a
program of the Minnesota Department of Human
Services, an agency of the State of Minnesota;
Scott TenNapel, individually and as Clinical
Director of the Minnesota Extended Treatment
Options, a program of the Minnesota Department
of Human Services, an agency of the State of
Minnesota; and the State of Minnesota,

Defendants.

Shamus P. O'Meara, Esq., and Mark R. Azman, Esq., O'Meara Leer Wagner & Kohl,
PA, counsel for Plaintiffs.

Nathan A. Brennaman, Deputy Attorney General, Scott H. Ikeda, Aaron Winter, and Anthony R. Noss, Assistant Attorneys General, Minnesota Attorney General's Office, counsel for State Defendants.

On May 28, 2015, this matter came before the Court for a Status Conference. (Doc. No. 456.) Following this Status Conference, the parties participated in mediation meetings with Magistrate Judge Becky R. Thorson between June 2015 and October 2015. On June 18, 2015, the Court stayed the parties' and the Court Monitor's reporting obligations to the Court based on the status of the mediation proceedings. (Doc. No. 462 at 2.) On July 9, 2015, the Court extended the stay of the reporting requirements during the pendency of the mediation period to August 10, 2015. (Doc. No. 472 at 2.) The Court reserved the right to address the resumption of status reports or any modified reporting obligations by separate Order. (*Id.*)

On August 10, 2015, the Defendants submitted a revised *Olmstead* Plan for approval by the Court. (Doc. No. 486-1.) The Court approved this version of the *Olmstead* Plan on September 29, 2015.¹ (Doc. No. 510.) In approving the *Olmstead* Plan, the Court emphasized the State's commitment to relying on the Olmstead Implementation Office ("OIO") to oversee the plan's successful implementation. (*Id.* at 9.) The Court particularly highlighted the OIO's role of "quality assurance and accountability, including compliance evaluation, verification and oversight." (*Id.*) The

¹ The Court does not express any opinion on the merits of the related case *Guggenberger, et al. v. State of Minnesota, et al.*, 15-CV-3439 (D. Minn. Aug. 28, 2015) through this Order.

Court also noted the State's commitment to establishing an annual review and formal amendment process to ensure deliberate efforts to update and add goals to the *Olmstead* Plan over time. (*Id.*) While "applaud[ing] the parties for their collaboration in developing this landmark *Olmstead* Plan," the Court also emphasized that it "fully expects the State to act on its promises to ensure that the *Olmstead* Plan will truly put the promise of *Olmstead* into practice across the state." (*Id.* at 14.) The Court reserved ruling on the *Olmstead* Plan's implementation plan, and reserved its right to exercise its continuing jurisdiction with respect to the revised *Olmstead* Plan. (*Id.* at 14-15.) Specifically, the Court noted that it "will continue to carry out its oversight responsibility to oversee the State's efforts in following through on the significant commitments it has made." (*Id.* at 15.)

On October 9, 2015, the Defendants submitted the *Olmstead* Plan Workplans for Court approval. (Doc. No. 515.) The Defendants explained that these workplans "form the blueprint for implementing the *Olmstead* Plan." (*Id.* at 1.) On November 6, 2015, the Court approved the *Olmstead* Plan Workplans. (Doc. No. 521.) The Court again reserved its right to exercise continuing jurisdiction to ensure that compliance with the Settlement Agreement is verified in the future. (*Id.* at 2-3.) The Court noted that it would identify a reporting schedule for the State to submit periodic reports on the *Olmstead* Plan's implementation in a subsequent Order. (*Id.* at 3.) On January 5, 2016, the Defendants submitted the *Minnesota Olmstead Subcabinet Report to the Court: Status Update, March 1, 2015 - September 30, 2015, Gap Report*. (Doc. No. 529.)

Currently before the Court is the Plaintiffs' Proposal for Reporting on *Olmstead* Plan, received by the Court on February 10, 2016 (Doc. No. 538), and the Defendants' Proposal for *Olmstead* Plan Compliance Reporting, received by the Court on February 12, 2016 (Doc. Nos. 540-1 & 540-2).² Both parties seek an Order from the Court establishing a schedule and format for *Olmstead* Plan compliance reporting.

ORDER

Based upon the submissions of the parties, the entire record before the Court, the Court's determination that there is a need for an Order establishing a schedule and format for *Olmstead* Plan compliance reporting, and the Court being otherwise duly advised in the premises, **IT IS HEREBY ORDERED** that:

1. The Minnesota Department of Human Services ("DHS") shall submit to the Court, Plaintiffs' Class Counsel, the Ombudsman for Mental Health and Developmental Disabilities, and the Executive Director of the Minnesota Governor's Council on Developmental Disabilities ("Consultants") quarterly and annual status reports regarding *Olmstead* Plan implementation based on the schedule listed in the attached Exhibit A entitled "Quarterly Reporting Schedule for Olmstead Plan Measurable Goals." (*See* attached Exhibit A.)

² On February 12, 2016, the Court also received a letter and accompanying attachments from Olmstead Subcabinet Chair Mary Tingerthal regarding proposed compliance evaluation, verification, and oversight of the *Olmstead* Plan. (Doc. No. 540.) And on February 18, 2016, the Court received a letter from the Defendants making a correction to their proposal and accompanying proposed order. (Doc. No. 543.)

2. The initial quarterly status report shall include data acquired by the Olmstead Implementation Office through the last day of January 2016, and shall be due on February 29, 2016.

3. After the initial quarterly status report, quarterly reporting shall occur according to the following schedule:

a. First Quarter (data acquired by the Olmstead Implementation Office through the last day of April) quarterly status report due date May 31.

b. Second Quarter (data acquired by the Olmstead Implementation Office through the last day of July) quarterly status report due date August 31.

c. Third Quarter (data acquired by the Olmstead Implementation Office through the last day of October) quarterly status report due date November 30.

d. Fourth Quarter (data acquired by the Olmstead Implementation Office through the last day of January) quarterly status report due date February 28, or, in the case of a leap year, February 29.

4. The attached Exhibit A entitled “Quarterly Reporting Schedule for Olmstead Plan Measurable Goals” lists measurable goals for each topic area and corresponding reporting deadlines for each goal. In addition to reporting on the long-term measurable goals identified in Exhibit A, DHS shall also include in its quarterly reports the status of each Annual Goal included in the approved *Olmstead Plan*

(Doc. No. 486-1). This information shall be reported in the next quarterly report following the Annual Goal deadline identified in the *Olmstead* Plan.³

5. Annual reports shall cover data acquired by the Olmstead Implementation Office during the period of October 1 through September 30 and shall be due on or before the following December 31.

6. DHS shall report to the Court on the implementation of the annual *Olmstead* Plan amendment process. Potential Plan amendments shall be identified and included in each annual report due on or before December 31. Plan amendments adopted by the Subcabinet shall be reported to the Court on or before February 28, or, in the case of a leap year, February 29.

7. When the reporting date is a Saturday, Sunday, or a legal holiday, the reporting shall be effected on the next day that is not a Saturday, Sunday, or legal holiday, as provided in Federal Rule of Civil Procedure 6(a)(1)(C).

8. All data included in reports to the Court must be confirmed as reliable and valid. All statements made in the reports must be accurate, complete, timely, and verified.

9. DHS shall submit all reports to the Court according to formal court filing procedures.

³ For example, the *Olmstead* Plan's Person Centered Planning Goal One includes the following Annual Goal: "By June 30, 2016, the percent of plans that meet the required protocols will increase to 30%." (Doc. No. 486-1 at 35.) Information regarding whether this goal has been met shall be reported to the Court in the August 2016 quarterly report.

10. Upon receipt of each quarterly and annual report, the Court will notify DHS if further information is needed or if the report fails to meet the Court's expectations. The Court presently approves the templates submitted as Attachments C and D to Olmstead Subcabinet Chair Mary Tingerthal's February 12, 2016 letter to the Court. (*See* Doc. No. 540.) The Court reserves the right to request further or other detail, or to request a different format in subsequent reports.

11. Plaintiffs' Class Counsel and the Consultants are permitted, but not required, to submit written comments to the Court following DHS's submission of a quarterly or annual report. Such comments must be submitted to the Court no later than ten (10) days following the report's submission.

12. The Court will convene bi-annual status conferences with Defendants' Counsel, Plaintiffs' Class Counsel, and the Consultants to facilitate the Court's continued oversight of the *Olmstead* Plan's implementation. Status conferences will be convened by the Court each June and December, beginning in June 2016. Prior to each status conference, the Court will issue an Order clarifying the timing and location of each status conference as well as a planned agenda explaining topics to be discussed.

13. DHS shall consult with Plaintiffs' Class Counsel and the Consultants if DHS contemplates proposing to modify the reporting schedule or format, and any such proposals must be submitted to the Court for approval. If the Court requires modifications to the reporting schedule or format, it will notify DHS.

14. Prior orders of the Court (Doc. Nos. 136, 211, 212, 223, 224, 265, 340, 344, 457, 462, and 472) as they relate to the schedule for and submission of compliance

reporting, are superseded by this Order to the extent they are inconsistent with this Order only as to reporting requirements.

15. At this time the Court places all Court Monitoring duties with respect to *Olmstead* Plan oversight on hold. The Court, however, reserves the right to facilitate additional Court oversight in the future through Court Monitoring.

16. Based on all of the above and the current status of this matter, and pursuant to the Settlement Agreement § XVIII.B and the Court's September 3, 2014 Order (Doc. No. 340), the Court's jurisdiction is extended to December 4, 2019. The Court expressly reserves the authority and jurisdiction to order an additional extension of jurisdiction, depending upon the status of Defendants' compliance and absent stipulation of the parties.

Dated: February 22, 2016

s/Donovan W. Frank
DONOVAN W. FRANK
United States District Judge

EXHIBIT A
Quarterly Reporting Schedule for Olmstead Plan Measurable Goals

Reports will be compiled on a quarterly basis. For the purpose of reporting, the measurable goals will be grouped in four categories:

1. Movement of people with disabilities from segregated to integrated settings
2. Movement of individuals from waiting lists
3. Quality of life measurement results
4. Measurable goals related to increasing capacity of the system and options for integration

Quarterly Reports will also include summary reports on mid- year reviews of measurable goals, status of workplans, and any adjustments needed to workplans.

Each measurable goal will be reported either quarterly, semi-annually, or annually. The chart below indicates the timing and frequency of reporting for each measurable goal and the rationale/authority for the reporting frequency. The chart also indicates the timeliness of the data at the time of the report. This chart also includes the month of mid-year reviews for each measurable goal that is reported annually.

The columns include the following information:

- Quarterly Report Month – Quarterly reports to the Court will be submitted in February, May, August and November of each year. An X indicates the months in which progress on each specific goal will be reported.
- Rationale/Authority for frequency of reporting – Rationale or authority that determines the frequency of reporting (quarterly, semi-annually, or annually) is presented.
- Timeliness of Data –Timeliness of the data at the time of the quarterly report (*To be determined based on the earliest point the data is reliable. In no case will data be more than 18 months old.)
- Mid-year review Begin Date – A mid-year review will be completed by the OIO Compliance staff on measurable goals reported annually. The date indicates when the first mid-year review will take place.

All quarterly reports and mid-year reviews begin in 2016 unless otherwise noted

Goals	Quarterly Report Month				Rationale/Authority for frequency of reporting	Time-liness of Data*	Mid-year Review Begin date
	Feb	May	Aug	Nov			
Person Centered Planning Goal One: By June 30, 2020, plans for people using disability home and community-based waiver services will meet required protocols. Protocols will be based on the principles of person centered planning and informed choice.	X 2017 Base-line	X	X	X	Protocols were approved in February 2016 with interim quarterly reporting beginning in May 2016. Baseline will be established in February 2017		N/A
Person Centered Planning Goal Two: By December 31, 2017, increase the percent of individuals with disabilities who report that they exercised informed choice, using each individual's experience regarding their ability: to make or have input into major life decisions and everyday decisions, and to be always in charge of their services and supports, as measured by the National Core Indicators (NCI) survey.	X Sub-goals A & B X 2017 Sub-goal C				National Core Indicator (NCI) survey used for subgoals A and B is conducted annually and will be reported beginning in February 2016. The NCI survey measure for subgoal C will be implemented in 2016 and will be reported beginning in February 2017.		August 2016
Transition Services Goal One: By June 30, 2020, the number of people who have moved from segregated settings to more integrated settings will be 7,138.	X	X	X Base-line	X	Subgoals A, B and C will be reported quarterly beginning February 2016 because of the high priority of this measurable goal. Subgoal C data will initially be interim data beginning in February 2016. A number of data sources will be used to monitor progress on this goal. These sources will be analyzed and validated. Baseline will be established in August 2016.		N/A

Goals	Quarterly Report Month				Rationale/Authority for frequency of reporting	Time-liness of Data*	Mid-year Review Begin date
	Feb	May	Aug	Nov			
Transition Services Goal Two: By June 30, 2019, the percent of people at Anoka Metro Regional Treatment Center (AMRTC) who do not require hospital level of care and are currently awaiting discharge to the most integrated setting will be reduced to 30% (based on daily average).	X	X	X	X	This will be reported quarterly because of the high priority of this measurable goal.		N/A
Transition Services Goal Three: By December 31, 2019, the average monthly number of individuals leaving Minnesota Security Hospital will increase to 14 individuals per month.	X	X	X	X	This will be reported quarterly because of the high priority of this measurable goal.		N/A
Transition Services Goal Four: By June 30, 2018, 50% of people who transition from a segregated setting will engage in a person centered planning process that adheres to transition protocols that meet the principles of person centered planning and informed choice.			X		This measure is under development. Frequency of reporting (annually or quarterly) will be determined once measure is developed and first reported in August 2016.		February 2016
Housing & Services Goal One: By June 30, 2019, the number of people with disabilities who live in the most integrated housing of their choice where they have a signed lease and receive financial support to pay for the cost of their housing will increase by 5,547 (from 6,017 to 11,564 or about a 92% increase).			X		This measure is under development. Frequency of reporting (annually or quarterly) will be determined once measure is developed and first reported in August 2016.		February 2016
Employment Goal One: By September 30, 2019 the number of new individuals receiving Vocational Rehabilitation Services (VRS) and State Services for the Blind (SSB) who are in competitive, integrated employment will increase by 14,820.				X	This information is part of a federal report to the Rehabilitation Services Administration required under the Rehabilitation Act and is due annually.		May 2016

Goals	Quarterly Report Month				Rationale/Authority for frequency of reporting	Time-liness of Data*	Mid-year Review Begin date
	Feb	May	Aug	Nov			
Employment Goal Two: By June 30, 2020, of the 50,157 people receiving services from certain Medicaid funded programs, there will be an increase of 5,015 or 10% in competitive, integrated employment.	X 2018		X 2017		The first annual goal is due June 30, 2017 and will be reported semi-annually beginning in August 2017. Data is gathered and verified semi-annually.		N/A
Employment Goal Three: By June 30, 2020, the number of students with developmental cognitive disabilities, ages 19-21 that enter into competitive, integrated employment will be 763.			X		Competitive, integrated employment outcomes for students with developmental cognitive disabilities ages 19-21 is reported by June 30 th of each FFY. This data is required and reported annually per the Individuals with Disabilities Education Act (IDEA).		February 2016
Education Goal One: By December 1, 2019 the number of students with disabilities, receiving instruction in the most integrated setting, will increase by 1,500 (from 67,917 to 69,417)	X				This data is collected from school districts annually. MDE reports the most currently available data once a year on December 1 st . This data is required and reported annually per the Individuals with Disabilities Education Act (IDEA) and related regulations.		August 2016
Education Goal Two: By October 1, 2020 the number of students who have entered into an integrated post- secondary setting within one year of leaving secondary education will increase by 250 (from 225 to 475)	X				Integrated postsecondary outcomes for students with disabilities is reported by October 1 st of each FFY. This data is required and reported annually by the Individuals with Disabilities Education Act (IDEA) and related regulations.		August 2016
Waiting List Goal One: By October 1, 2016, the Community Access for Disability Inclusion (CADI) waiver waiting list will be eliminated.	X	X	X	X	This will be reported quarterly because of the high priority of this measurable goal.		N/A

Goals	Quarterly Report Month				Rationale/Authority for frequency of reporting	Time-liness of Data*	Mid-year Review Begin date
	Feb	May	Aug	Nov			
Waiting List Goal Two: By December 1, 2015, the Developmental Disabilities (DD) waiver waiting list will move at a reasonable pace.	X 2017 Base- line	X	X	X	<p>This will be reported quarterly beginning in August 2016, because of the high priority of this measurable goal.</p> <p>The baseline will be established after one year, in December 2016, and included in the quarterly report to the Subcabinet at the February 2017 meeting.</p> <p>In the interim, the quarterly reports to the Subcabinet, beginning in February 2016, will report the number of persons on the CADI and DD waiting lists each quarter.</p>		N/A
Waiting List Goal Three: By March 1, 2017, the DD waiver waiting list will be eliminated for persons leaving an institutional setting and for persons with immediate need as defined by Minn. Statutes, sections 256B.49, subdivision 11a(b) and 256B.092, subdivision 12(b).	X 2017 Base- line	X	X	X	<p>This will be reported quarterly beginning in August 2016, because of the high priority of this measurable goal.</p> <p>The baseline will be established after one year, in December 2016, and included in the quarterly report to the Subcabinet at the February 2017 meeting.</p> <p>In the interim, the quarterly reports to the Subcabinet, beginning in February 2016, will report the number of persons on the CADI and DD waiting lists each quarter.</p>		N/A

Goals	Quarterly Report Month				Rationale/Authority for frequency of reporting	Time-liness of Data*	Mid-year Review Begin date
	Feb	May	Aug	Nov			
Waiting List Goal Four: By December 31, 2018, within available funding limits, waiver funding will be authorized for persons who are assessed and have a defined need on or after December 1, 2015, and have been on the waiting list for more than three years.	X 2017 Base- line	X	X	X	<p>This will be reported quarterly beginning in August 2016, because of the high priority of this measurable goal.</p> <p>The baseline will be established after one year, in December 2016, and included in the quarterly report to the Subcabinet at the February 2017 meeting.</p> <p>In the interim, the quarterly reports to the Subcabinet, beginning in February 2016, will report the number of persons on the CADI and DD waiting lists each quarter.</p>		N/A
Waiting List Goal Five: By June 30, 2020, the DD waiver waiting list will be eliminated, within available funding limits, for persons with a defined need.	X 2017 Base- line	X	X	X	<p>This will be reported quarterly beginning in August 2016, because of the high priority of this measurable goal.</p> <p>The baseline will be established after one year, in December 2016, and included in the quarterly report to the Subcabinet at the February 2017 meeting.</p> <p>In the interim, the quarterly reports to the Subcabinet, beginning in February 2016, will report the number of persons on the CADI and DD waiting lists each quarter.</p>		N/A

Goals	Quarterly Report Month				Rationale/Authority for frequency of reporting	Time-liness of Data*	Mid-year Review Begin date
	Feb	May	Aug	Nov			
Transportation Goal One: By December 31, 2020 accessibility improvements will be made to 4,200 curb ramps (increase from base of 19% to 38%) and 250 Accessible Pedestrian Signals (increase from base of 10% to 50%) By January 31, 2016, a target will be established for sidewalk improvements.	X	X 2016 only for Side walk goal			Inventories of facilities are required under Section 504 of the Rehabilitation Act 29 U.S.C. 701, however no schedule for updating the inventory is prescribed by law. MnDOT adopted annual reporting to ensure that agency goals in the transition plan are being met, which includes information on all of the accessibility improvements made in the previous construction season. MnDOT collects the information after projects are completed to ensure collection consistency and data integrity.		August 2016
Transportation Goal Two: By December 31, 2025, additional rides and service hours will increase the annual number of passenger trips to 18.8 million in Greater Minnesota (approximately 50% increase).				X	Ride reporting is done on an annual basis to the legislature as part of the statutorily required Annual Transit Report (Minn. Stat., Sec. 174.247). Data for 2015 is compiled, verified and will be available beginning in November 2016, and annually thereafter.		May 2016
Transportation Goal Three: By December 31, 2020, expand transit coverage so that 90% of the public transportation service areas in Minnesota will meet minimum service guidelines for access. [*Baseline to be established by December 31, 2016]	X Begin 2017				Baseline for access will be established by December 31, 2016 and will be reported on beginning in February 2017. Information will be gathered through the annual grant solicitation process. The information is also aggregated and reported in the statutorily required Annual Transit Report (Minn. Stat., Sec. 174.247).		August 2017

Goals	Quarterly Report Month				Rationale/Authority for frequency of reporting	Time-liness of Data*	Mid-year Review Begin date
	Feb	May	Aug	Nov			
Transportation Goal Four: By December 31, 2020, transit systems' on time performance will be 90% or greater statewide. [*Baseline and goals for Greater Minnesota to be established by December 31, 2016]	X Begin 2017				Baseline and goals will be developed by December 31, 2016 and will be reported beginning February 2017. Information will be gathered through the annual grant solicitation process. The information is also aggregated and reported in the statutorily required Annual Transit Report (Minn. Stat., Sec. 174.247).		August 2017
Health Care Goal One: By December 31, 2018, the number/percent of individuals with disabilities and/or serious mental illness accessing appropriate preventive care, focusing specifically on cervical cancer screening, and follow up care for cardiovascular conditions will increase by 833 people compared to the baseline.	X Begin 2017				The first annual goal is due December 31, 2016 and will be reported annually beginning February 2017. Data is collected and verified annually.		August 2017
Health Care Goal Two: By December 31, 2018, the number of individuals with disabilities and/or serious mental illness accessing dental care will increase by 1,229 children and 1,055 adults over baseline.	X Begin 2017				The first annual goal is due December 31, 2016 and will be reported annually beginning February 2017. Data is collected and verified annually.		August 2017

Goals	Quarterly Report Month				Rationale/Authority for frequency of reporting	Time-liness of Data*	Mid-year Review Begin date
	Feb	May	Aug	Nov			
Positive Supports Goal One: By June 30, 2018 the number of individuals receiving services licensed under Minn. Statute 245D, or within the scope of Minn. Rule, Part 9544, (for example, home and community based services) who experience a restrictive procedure, such as the emergency use of manual restraint when the person poses an imminent risk of physical harm to themselves or others and it is the least restrictive intervention that would achieve safety, will decrease by 5% or 200.	X	X	X	X	This will be reported quarterly because of the high priority of this measurable goal.		N/A
Positive Supports Goal Two: By June 30, 2018, the number of Behavior Intervention Reporting Form (BIRF) reports of restrictive procedures for people receiving services licensed under Minn. Statute 245D, or within the scope of Minn. Rule, Part 9544, (for example, home and community based services) will decrease by 1,596.	X	X	X	X	This will be reported quarterly because of the high priority of this measurable goal.		N/A
Positive Supports Goal Three: Use of mechanical restraint is prohibited in services licensed under Minn. Statute 245D, or within the scope of Minn. Rule, Part 9544, with limited exceptions to protect the person from imminent risk of serious injury. (Examples of a limited exception include the use of a helmet for protection of self-injurious behavior and safety clips for safe vehicle transport). By December 31, 2019 the emergency use of mechanical restraints will be reduced to \leq 93 reports and \leq 7 individuals. [*Annual goals are due in June]	X	X	X	X	This will be reported quarterly because of the high priority of this measurable goal.		N/A

Goals	Quarterly Report Month				Rationale/Authority for frequency of reporting	Time-liness of Data*	Mid-year Review Begin date
	Feb	May	Aug	Nov			
Positive Supports Goal Four: By June 30, 2017, the number of students receiving special education services who experience an emergency use of restrictive procedures at school will decrease by 316.			X		Districts submit their summary restrictive procedures data to MDE on an annual basis. The final counts are included in MDE's annual legislative report submitted on February 1st of each year. This report and data are required by Minnesota Statute 125A.0942.		February 2016
Positive Supports Goal Five: By June 30, 2017, the number of incidents of emergency use of restrictive procedures occurring in schools will decrease by 2,251.			X		Districts submit their summary restrictive procedures data to MDE on an annual basis. The final counts are included in MDE's annual legislative report submitted on February 1st of each year. This report and data are required by Minnesota Statute 125A.0942.		February 2016
Crisis Services Goal One: By June 30, 2018, the percent of children who receive children's mental health crisis services and remain in their community will increase to 85% or more.		X 2017		X	This will be reported semi-annually beginning in November 2016 because of the high priority of this measurable goal.		N/A
Crisis Services Goal Two: By June 30, 2018, the percent of adults who receive adult mental health crises services and remain in their community (e.g., home or other setting) will increase to 89% or more.		X 2017		X	This will be reported semi-annually beginning in November 2016 because of the high priority of this measurable goal.		N/A
Crisis Services Goal Three: By June 30, 2017, the number and percent of people who discontinue waiver services after a crisis will decrease to 45% or less. (Leaving the waiver after a crisis indicates that they left community services, and are likely in a more segregated setting.)	X 2017	X	X	X	This will be reported quarterly beginning in May 2016 and quarterly thereafter, because of the high priority of this measurable goal.		N/A

Goals	Quarterly Report Month				Rationale/Authority for frequency of reporting	Time-liness of Data*	Mid-year Review Begin date
	Feb	May	Aug	Nov			
Crisis Services Goal Four: By June 30, 2018, people in community hospital settings due to a crisis, will have appropriate community services within 30 days of no longer requiring hospital level of care, and will have a stable, permanent home within 5 months after leaving the hospital. [*Baseline and goals developed by February 28, 2016 – Annual goal in June]		X 2016 Base Line only	X		This measure is under development. Frequency of reporting (annually or quarterly) will be determined once the measure is developed and reported beginning in August 2016.		February 2017
Crisis Services Goal Five: By June 20, 2020, 90% of people experiencing a crisis will have access to clinically appropriate short term crisis services, and when necessary, placement within ten days. [*Baseline and goals developed by January 31, 2016 – Annual goal in June]		X 2016 Base Line only	X		This measure is under development. Frequency of reporting (annually or quarterly) will be determined once the measure is developed and reported beginning in August 2016.		February 2017
Community Engagement Goal One: By June 30, 2019, the number of individuals involved in their community in ways that are meaningful to them will increase to 1,992.			X		This goal is made up of three strategies. Workplan calls for baseline data to be gathered over the course of the year, with due dates of December 31, 2015, March 30, 2016 and August 30, 2016. Therefore, it seems reasonable to report results annually. There is no statute or other regulation driving the dates for data collection.		February 2017