



Minnesota Department of Human Services
P.O. Box 64998
St. Paul, MN 55164-0998

March 24, 2017

The Honorable Donovan W. Frank
United States District Court
District of Minnesota
316 North Robert Street
St. Paul, Minnesota 55101

Re: *Jensen, et al. v. Minnesota Department of Human Services, et al.*
Court File No.: 09-CV-01775 DWF/BRT

Dear Judge Frank:

Enclosed please find the Department's 2016 Annual Compliance Report, covering the reporting period January 1, 2016, through December 31, 2016. This Report is filed pursuant to the Court's February 22, 2016, Order for Reporting on the Settlement Agreement (Doc. No. 545).

By submitting this Report, the Department does not waive its previously raised objections regarding ongoing court jurisdiction and monitoring in this matter.

Sincerely,

A handwritten signature in blue ink, appearing to read 'Charles E. Johnson'.

Charles E. Johnson
Deputy Commissioner

CC: Shamus O'Meara, Plaintiffs' Class Counsel
Colleen Wieck, Executive Director for the Governor's Council on Developmental Disabilities
Roberta Opheim, Ombudsman for Mental Health and Developmental Disabilities

UNITED STATES DISTRICT COURT

DISTRICT OF MINNESOTA

James and Lorie Jensen, et al.,

Case No. 09-cv-01775 DWF/BRT

Plaintiffs,

vs.

Minnesota Department of Human
Services, et al.,

Defendants.

**AFFIDAVIT OF
MARGARET FLETCHER BOOTH
CONCERNING FILING OF
DEFENDANTS' MARCH 2017
ANNUAL COMPLIANCE REPORT
UNDER SEAL**

STATE OF MINNESOTA)
) ss.
COUNTY OF RAMSEY)

MARGARET FLETCHER BOOTH, being first duly sworn on oath, states as follows:

1. I am employed by the Minnesota Department of Human Services ("DHS") as Director of the *Jensen / Olmstead* Quality Assurance and Compliance Office ("JOQACO").
2. I am familiar with the above-captioned case and settlement.
3. I am familiar with the contents of the Defendants' March 2017 Annual Compliance Report ("Report") and the associated Identifier Key.

4. Based upon my own personal knowledge of the contents of the Report, I verify that the unredacted version of the Report filed under seal contains private welfare data that, under Minn. Stat. § 13.46, subd. 2(a), DHS is prohibited from publicly disclosing.

5. Based upon my own personal knowledge of the contents of the Report, I verify that the unredacted version of the Identifier Key filed under seal contains private welfare data that, under Minn. Stat. § 13.46, subd. 2(a), DHS is prohibited from publicly disclosing.

FURTHER YOUR AFFIANT SAYETH NOT.


MARGARET FLETCHER BOOTH

Subscribed and sworn to before me on

March 28, 2017




NOTARY PUBLIC

UNITED STATES DISTRICT COURT

DISTRICT OF MINNESOTA

James and Lorie Jensen, et al.,

Case No. 09-cv-01775 DWF/BRT

Plaintiffs,

vs.

Minnesota Department of Human
Services, et al.,

**AFFIDAVIT OF
MARGARET FLETCHER BOOTH
CONCERNING VERIFICATION OF
DEFENDANTS' MARCH 2017
ANNUAL COMPLIANCE REPORT**

Defendants.

STATE OF MINNESOTA)
) ss.
COUNTY OF RAMSEY)

MARGARET FLETCHER BOOTH, being first duly sworn on oath, states as follows:

1. I am employed by the Minnesota Department of Human Services ("DHS") as Director of the *Jensen / Olmstead* Quality Assurance and Compliance Office ("JOQACO").

2. I am familiar with the above-captioned case and settlement.

3. In order to verify that the information set forth in Defendants' March 2017 Annual Compliance Report ("Report"), is correct and accurate, JOQACO:

(A) had individuals with personal knowledge of specific information in the Report review the specific information, verify its correctness and accuracy, and

attest to the correctness and accuracy of the specific information in an affidavit;
and

(B) conducted the supplemental verification activities described in relevant
sections of the Report.

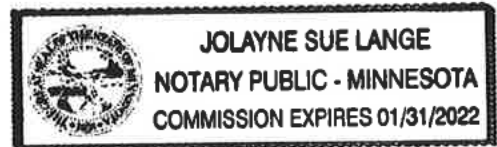
4. Based upon this process and my own personal knowledge, I verify that the
information in the Report is correct, accurate, and complete.

FURTHER YOUR AFFIANT SAYETH NOT.


MARGARET FLETCHER BOOTH

Subscribed and sworn to before me on

March 23, 2017




NOTARY PUBLIC

UNITED STATES DISTRICT COURT
DISTRICT OF MINNESOTA

James and Lorie Jensen, et al.,

Case No. 09-cv-01775 DWF/BRT

Plaintiffs,

vs.

Minnesota Department of Human
Services, et al.,

**AFFIDAVIT OF MARK BROSTROM
CONCERNING VERIFICATION OF
DEFENDANTS' MARCH 2017
ANNUAL COMPLIANCE REPORT**

Defendants.

STATE OF MINNESOTA)
) ss.
COUNTY OF ISANTI)

MARK BROSTROM, being first duly sworn on oath, states as follows:

1. I am employed by the Minnesota Department of Human Services ("DHS") as Manager, Minnesota Life Bridge Treatment Homes.
2. I am familiar with the above-captioned case and settlement and understand that this affidavit is a verification of information set forth in Defendants' March 2017 Annual Compliance Report ("Report").
3. I have personal knowledge of the information I provided for the following sections of the Report and verify that the information I provided is correct and accurate:

A. January 1, 2016 to December 31, 2016 Updates regarding Evaluation Criteria 1, 4, 38, 45, 46, 54, 55, 56, 57, 58, 59, 60, 61, 62, 65, 66, 89, 90, 91, 92, 94, and 96.

4. I further hereby verify the data contained in the portions of the Report described above at paragraph 3 is either (1) public data under applicable laws, or (2) if it is not-public data, the data subject(s) of any such not-public data have consented to such data being used publicly, or (3) I have alerted the *Jensen / Olmstead* Quality Assurance and Compliance Office (JOQACO) at DHS so that the data can be filed under seal.

FURTHER YOUR AFFIANT SAYETH NOT.

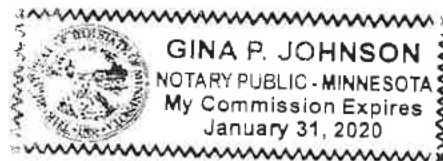


MARK BROSTROM

Subscribed and sworn to before me on

March 23, 2017


NOTARY PUBLIC



UNITED STATES DISTRICT COURT

DISTRICT OF MINNESOTA

James and Lorie Jensen, et al.,

Case No. 09-cv-01775 DWF/BRT

Plaintiffs,

vs.

**AFFIDAVIT OF STEVEN DAHL
CONCERNING VERIFICATION OF
DEFENDANTS' MARCH 2017
ANNUAL COMPLIANCE REPORT**

Minnesota Department of Human
Services, et al.,

Defendants.

STATE OF MINNESOTA)
) ss.
COUNTY OF RAMSEY)

STEVEN DAHL, being first duly sworn on oath, states as follows:

1. I am employed by the Minnesota Department of Human Services ("DHS") as Director, Community Support Services.
2. I am familiar with the above-captioned case and settlement and understand that this affidavit is a verification of information set forth in Defendants' March 2017 Annual Compliance Report ("Report").
3. I have personal knowledge of the information I provided for the following sections of the Report and verify that the information I provided is correct and accurate:
 - A. January 1, 2016 to December 31, 2016 Updates regarding Evaluation Criterion 96.

4. I further hereby verify the data contained in the portions of the Report described above at paragraph 3 is either (1) public data under applicable laws, or (2) if it is not-public data, the data subject(s) of any such not-public data have consented to such data being used publicly, or (3) I have alerted the *Jensen / Olmstead* Quality Assurance and Compliance Office ("JOQACO") at DHS so that the data can be filed under seal.

FURTHER YOUR AFFIANT SAYETH NOT.

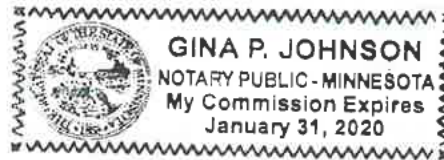


STEVEN DAHL

Subscribed and sworn to before me on

March 29, 2017


NOTARY PUBLIC



UNITED STATES DISTRICT COURT
DISTRICT OF MINNESOTA

James and Lorie Jensen, et al.,

Case No. 09-cv-01775 DWF/BRT

Plaintiffs,

vs.

Minnesota Department of Human
Services, et al.,

**AFFIDAVIT OF
CAROL OLSON CONCERNING
VERIFICATION OF DEFENDANTS'
MARCH 2017 ANNUAL
COMPLIANCE REPORT**

Defendants.

STATE OF MINNESOTA)
) ss.
COUNTY OF NICOLLET)

CAROL OLSON, being first duly sworn on oath, states as follows:

1. I am employed by the Minnesota Department of Human Services ("DHS") as Executive Director, Forensic Services.

2. I am familiar with the above-captioned case and settlement and understand that this affidavit is a verification of information set forth in Defendants' March 2017 Annual Compliance Report ("Report").

3. I have personal knowledge of the information I provided for the following sections of the Report and verify that the information I provided is correct and accurate:

A. January 1, 2016 to December 31, 2016 Updates regarding Evaluation Criteria 81, 83, and 84.

4. I further hereby verify the data contained in the portions of the Report described above at paragraph 3 is either (1) public data under applicable laws, or (2) if it is not-public data, the data subject(s) of any such not-public data have consented to such data being used publicly, or (3) I have alerted the *Jensen / Olmstead* Quality Assurance and Compliance Office at DHS so that the data can be filed under seal.

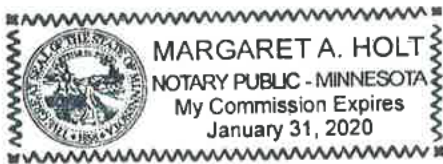
FURTHER YOUR AFFIANT SAYETH NOT.



CAROL OLSON

Subscribed and sworn to before me on

March 23, 2017


NOTARY PUBLIC

UNITED STATES DISTRICT COURT
DISTRICT OF MINNESOTA

James and Lorie Jensen, et al.,

Case No. 09-cv-01775 DWF/BRT

Plaintiffs,

vs.

Minnesota Department of Human
Services, et al.,

**AFFIDAVIT OF
KAREN SULLIVAN HOOK
CONCERNING VERIFICATION OF
DEFENDANTS' MARCH 2017
ANNUAL COMPLIANCE REPORT**

Defendants.

STATE OF MINNESOTA)
) ss.
COUNTY OF RAMSEY)

KAREN SULLIVAN HOOK, being first duly sworn on oath, states as follows:

1. I am employed by the Minnesota Department of Human Services ("DHS") as Deputy Senior Counsel.

2. I am familiar with the above-captioned case and settlement and understand that this affidavit is a verification of information set forth in Defendants' March 2017 Annual Compliance Report ("Report").

3. I have personal knowledge of the information I provided for the following sections of the Report and verify that the information I provided is correct and accurate:

A. January 1, 2016 to December 31, 2016 Update regarding Evaluation Criteria 80, 100, and 101.

4. I further hereby verify the data contained in the portions of the Report described above at paragraph 3 is either (1) public data under applicable laws, or (2) if it is not-public data, the data subject(s) of any such not-public data have consented to such data being used publicly, or (3) I have alerted the *Jensen / Olmstead* Quality Assurance and Compliance Office ("JOQACO") at DHS so that the data can be filed under seal.

FURTHER YOUR AFFIANT SAYETH NOT.



KAREN SULLIVAN HOOK

Subscribed and sworn to before me on

March 24th, 2017



NOTARY PUBLIC



UNITED STATES DISTRICT COURT
DISTRICT OF MINNESOTA

James and Lorie Jensen, et al.,

Case No. 09-cv-01775 DWF/BRT

Plaintiffs,

vs.

Minnesota Department of Human
Services, et al.,

**AFFIDAVIT OF
KYLEEANN STEVENS, M.D.,
CONCERNING VERIFICATION OF
DEFENDANTS' MARCH 2017
ANNUAL COMPLIANCE REPORT**

Defendants.

STATE OF MINNESOTA)
) ss.
COUNTY OF NICOLLET)

KYLEEANN STEVENS, M.D., being first duly sworn on oath, states as follows:

1. I am employed by the Minnesota Department of Human Services ("DHS") as Executive Medical Director, Direct Care and Treatment.

2. I am familiar with the above-captioned case and settlement and understand that this affidavit is a verification of information set forth in Defendants' March 2017 Annual Compliance Report ("Report").

3. I have personal knowledge of the information I provided for the following sections of the Report and verify that the information I provided is correct and accurate:

A. January 1, 2016 to December 31, 2016 Updates regarding Evaluation Criteria 81, 83, and 84.

4. I further hereby verify the data contained in the portions of the Report described above at paragraph 3 is either (1) public data under applicable laws, or (2) if it is not-public data, the data subject(s) of any such not-public data have consented to such data being used publicly, or (3) I have alerted the *Jensen / Olmstead* Quality Assurance and Compliance Office ("JOQACO") at DHS so that the data can be filed under seal.

FURTHER YOUR AFFIANT SAYETH NOT.


KYLEEANN STEVENS

Subscribed and sworn to before me on

March 30, 2017


NOTARY PUBLIC

