STATE OF MINNESOTA

BOARD OF ARCHITECTURE, ENGINEERING, LAND SURVEYING, LANDSCAPE ARCHITECTURE,

GEOSCIENCE AND INTERIOR DESIGN

In the Matter of

STIPULATION AND CONSENT ORDER

Carl Lee Gauley Architect No. 57512

Board File No. 2025-0022

**STIPULATION** 

Carl Gauley ("Respondent") and the Minnesota Board of Architecture, Engineering, Land

Surveying, Landscape Architecture, Geoscience and Interior Design's Complaint Committee

stipulate that, subject to Board's review and discretionary approval, the Board may issue a

consent order that imposes the following sanctions:

A. Respondent is CENSURED and REPRIMANDED pursuant to Minn. Stat. § 326.111

(2024).

B. Respondent shall pay to the Board a civil penalty of \$3,000. Respondent shall

submit the civil penalty by check to the Board within 60 days of the Board's approval of this

Stipulation and Consent Order.

C. Respondent shall complete 2 professional development hours related to

professional ethics, and provide proof of completion of the hours to the Board, within 60 days of

the Board's approval of this Stipulation and Consent Order. These professional development

hours are not to count toward the continuing education hours required by statute and rule for

license renewal.

D. Respondent shall comply with all statutes and rules within the Board's jurisdiction.

See Minn. Stat. §§ 326.02–.15 (2024) and Minn. R. chs. 1800 & 1805 (2023).

E. Respondent shall report in writing within ten days any and all violations of this Stipulation and Consent Order to the Board's Executive Director.

Respondent and the Committee enter into this stipulation based on the following findings of fact, conclusions of law, and other stipulated provisions:

# **Findings of Fact**

- 1. The Board issued Respondent an Architect license on September 25, 2019.
- 2. Respondent's Architect license expired on June 30, 2024.
- 3. Respondent renewed his Architect license on July 30, 2024.
- 4. Respondent is the Principal and Founder of a one-person architecture firm in Minnesota.
- 5. Respondent worked on the schematic design for a single-family residence project and one commercial retail tenant improvement project during his time of lapse.
- 6. During his lapse in licensure, Respondent held out by representing himself as an Architect in the following manners:
  - a. On his employer's website by using the "AIA" designation, citing his receipt of the "2024 AIA MN Residential Emerging Architect Award," and his "formative experience as a collaborator and architect at three nationally recognized architecture and design firms."
  - b. On his LinkedIn by using the "AIA" designation and stating his work in "Residential and Commercial Architectural Design."

- c. On his email signature by using the "AIA" designation and including "Residential Emerging Architect Award Recipient 2024."
- d. On his business cards by using the "AIA" designation alongside an architecture firm and a Minnesota address.
- 7. Use of the "AIA" designation when living and working in Minnesota gives the impression that one is a licensed Architect in Minnesota.
- 8. Respondent provided false statements to the Board by answering "True" to the following certification statements on his July 30, 2024 renewal application:
  - a. "I have not and will not represented [sic] myself as an architect, professional engineer, land surveyor, landscape architect, professional geologist, professional soil scientist, or certified interior designer, without proper licensure or certification, either verbally or on any printed matter, in the State of Minnesota, nor will I do so until such time as my license or certificate has been issued/reinstated by the Minnesota Board of Architecture, Engineering, Land Surveying, Landscape Architecture, Geoscience and Interior Design"
  - b. "I have not performed or offered to perform any services reserved in statute to an individual who is properly licensed as an architect, professional engineer, land surveyor, landscape architect, professional geologist, or professional soil scientist in the State of Minnesota until my license has been issued by the Minnesota Board of Architecture,

Engineering, Land Surveying, Landscape Architecture, Geoscience or Interior Design."

Respondent held out and practiced in the State of Minnesota during his lapse in licensure.

### **Conclusion of Law**

- 1. The Board has authority to license and regulate Architects and to take disciplinary action as appropriate. Minn. Stat. ch. 326.111 (2024).
- 2. Respondent violated Minnesota Statute § 326.02, subds. 1 and 2 and Minnesota Rules 1805.0200, subps. 2 and 4(C) (2023).
- 3. Respondent is subject to discipline pursuant to Minn. Stat. § 326.111 subd. 4(a)(1), (5), and (8) (2024).
  - 4. This Stipulation and Consent Order is in the public interest.

#### Other Stipulated Provisions

- This Stipulation and Consent Order must be approved by the Board to become effective.
- 2. Respondent agrees that the Committee may move the Board *ex parte*, with or without advance notice to the Respondent, to approve this Stipulation and Consent Order. Respondent understands that the Board may either approve the Stipulation and Consent Order or not approve it. This stipulation and the files, records, and proceedings associated with this matter may be reviewed by the Board in its consideration of the Committee's motion.

- 3. If approved by the Board, this Stipulation and Consent Order shall be classified as public data. Minn. Stat. § 13.41, subd. 5 (2024). The Board will post a copy of this order on its website. The Board will also send a summary to the national discipline data bank pertaining to the practice of architecture.
- 4. If the Board does not approve this Stipulation and Consent Order, then the matter remains unresolved and the Committee may either seek to negotiate a revised stipulation and consent order with Respondent to present to the Board or issue an order commencing a contested-case hearing before an Administrative Law Judge at the Office of Administrative Hearings. *See* Minn. Stat. §§ 14.57–.62, 214.10, subd. 2 (2024) (describing administrative hearing process).
- 5. Respondent agrees that if this case comes before the Board again after it reviews and discusses this Stipulation and Consent Order, Respondent waives any claim that the Board was prejudiced by its review and discussion of this Stipulation and Consent Order and any records relating to it.
- 6. Respondent acknowledges that they were advised by the Committee of their right to a contested-case hearing in this matter before an Administrative Law Judge, to file exceptions and make argument to the Board after the hearing, and to seek judicial review from any adverse decision rendered by the Board. Respondent hereby expressly waives those rights. Respondent was further advised by the committee of their right to be represented by counsel and that they knowingly waive that right.
- 7. Respondent has read, understands, and agrees to this stipulation and has voluntarily signed it. It is expressly understood that this stipulation contains the entire agreement

between the parties, there being no other agreement of any kind, verbal or otherwise. If approved by the Board, a copy of the final Stipulation and Consent Order shall be served personally or by first class mail on Respondent. The Board's order shall be effective when it is signed by the Chair of the Board or the Chair's designee.

8. Pursuant to Minn. Stat. § 16D.13 (2024), any civil penalty imposed by this Stipulation and Consent Order shall begin to accrue simple interest in accordance with that section thirty days after the civil penalty is due. Pursuant to Minn. Stat. § 16D.17 (2024), thirty days after any civil penalty imposed by this Stipulation and Consent Order is due, the Board may file and enforce any unpaid portion of the civil penalty as a judgment against Respondent in district court without further notice or additional proceedings.

STATE OF

COUNTY OF HE

Carl Lee Gauley, Architect

This instrument was acknowledged before me on 05/08/205 by Carl Gauley.

(stamp)

Harpreet Kaur

Notary Public Minnesota

My Commission Expires Jan 31, 2020

(Signature of notary officer)

My commission expires: 01/31/2029

### **COMPLAINT COMMITTEE**

Jason Amberg,

Chair

Dated: \_\_\_\_\_\_\_, 2025

# **CONSENT ORDER**

Upon consideration of this Stipulation and Consent Order, and based upon all the files, records, and proceedings herein, all terms of the Stipulation and Consent Order are approved.

Accordingly, the Board hereby ADOPTS the stipulation and issues the ORDER described above.

MINNESOTA BOARD OF ARCHITECTURE, ENGINEERING, LAND SURVEYING, LANDSCAPE ARCHITECTURE, GEOSCIENCE AND INTERIOR DESIGN

Dated: May 14, 2025

MELISA RODRIGUEZ, PE

BOARD VICE CH